

## EAST Search History

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	7	10/753069	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 16:53
S2	1234305	((exchange AJD traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 16:56
S3	121864	((exchange AJD traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1)) AND ((no\$2 NEAP4 disclos\$4) OR (non-disclos\$4))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 16:59
S4	4704	((exchange AJD traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1)) AND ((no\$2 ADJ disclos\$4) OR (non- disclos\$4))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 17:00
S5	19	((exchange AJD traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1)) AND ((no\$2 ADJ disclos\$4) OR (non- disclos\$4)) AND ((front ADJ run\$5) OR (free ADJ run\$5) OR front-run\$5 OR free-run\$5)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 17:02
S6	10	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1)) AND ((no\$2 ADJ disclos\$4) OR (non- disclos\$4))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 17:09
S7	460	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 17:10

S8	16	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1)) AND ((front ADJ run\$5) OR (free ADJ run \$5) OR front-run\$5 OR free-run\$5)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 17:12
S9	172	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1)) AND \$2publish \$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 17:19
S10	1	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1)) AND (no\$1 ADJ publish\$4)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 17:22
S11	13	("5214579"   "5806048"   "5875437"   "5937159"   "6047270"   "6317728"   "6456982").PN. OR ("6941280").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2010/02/10 17:23
S12	0	"09536663".app.	US-PGPUB; USPAT; USOCR	OR	ON	2010/02/10 17:24
S13	2	"09536663"	US-PGPUB; USPAT; USOCR	OR	ON	2010/02/10 17:24
S14	14	(traded ADJ fund\$1) AND ((no\$2 ADJ disclos\$4) OR (non-disclos\$4) OR \$2publish\$4) AND ((front ADJ run\$5) OR (free ADJ run\$5) OR front-run\$5 OR free-run\$5)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/10 18:46
S15	2	"5788287".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/11 08:33
S16	2	"5799287".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/11 08:34
S17	2	"20040059621".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/02/11 08:43

S18	2	("20030139993").pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;  IBM_TDB	OR	ON	2010/02/11 14:04
S19	0	no\$2 NEAR2 disclos\$3 NEAR3 underlying NEAR3 asset\$1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;  IBM_TDB	OR	ON	2010/02/11 14:10
S20	1	no\$2 WITH disclos\$3 WITH underlying WITH asset\$1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;  IBM_TDB	OR	ON	2010/02/11 14:11
S21	9	no\$2 WITH (disclos\$3 OR publish\$3 OR post\$4) WITH underlying WITH asset\$1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;  IBM_TDB	OR	ON	2010/02/11 14:12
S22	53	(no\$2 NEAR2 (disclos\$3 OR publish\$3 OR post \$4)) NEAR5 asset\$1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;  IBM_TDB	OR	ON	2010/02/11 14:14
S23	15	((no\$2 NEAR2 (disclos\$3 OR publish\$3 OR post \$4)) NEAR5 asset\$1) AND fund\$1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;  IBM_TDB	OR	ON	2010/02/11 14:15
S24	6	((no\$2 NEAR2 (disclos\$3 OR publish\$3 OR post \$4)) NEAR5 asset\$1) SAME fund\$1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;  IBM_TDB	OR	ON	2010/02/11 14:15
S25	38	S22 NOT S23	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;  IBM_TDB	OR	ON	2010/02/11 14:19
S26	526	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;  IBM_TDB	OR	ON	2010/07/15 22:28

S27	1824	"ETF"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/07/15 22:29
S28	2044	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1) OR "ETF" OR "ETFs" OR "ETFs")	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/07/15 22:31
S29	618	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1) OR "ETF" OR "ETFs" OR "ETFs") AND model\$4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/07/15 22:32
S30	149	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1) OR "ETF" OR "ETFs" OR "ETFs") AND (risk SAME model\$4)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/07/15 22:35
S31	51	((exchange ADJ traded ADJ fund\$1) OR (exchange-traded ADJ fund\$1) OR "ETF" OR "ETFs" OR "ETFs") AND (risk SAME model\$4) AND proxy	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/07/15 22:36
S32	37	("20020091616"   "20020099640"   "20020184126"   "20030126062"   "20040044505"   "20040049448"   "5214579"   "5765165"   "5806048"   "5873071"   "5875437"   "5937159"   "5987435"   "6047270"   "6061662"   "6061663"   "6088685"   "6108648"   "6205439"   "6244986"   "6317728"   "6377907"   "6456982"   "6584502"   "6606615").PN. OR ("7099838").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2010/07/15 22:56
S33	11	S32 AND S28	US-PGPUB; USPAT; USOCR	OR	OFF	2010/07/15 23:27

S34	5	("20090313178" "2007974468" "2002174505" "7814001").pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 14:31
S35	7	("20090313178" "2007974468" "2002174505" "7814001" "20020617" "2009538421").pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 14:57
S36	13752	(proxy OR model OR index) WITH trad\$3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 15:00
S37	3	10/959528	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 15:01
S38	55	((Equity OR investment) ADJ (index or indices)) OR (index ADJ (fund OR tracker)) OR (portfolio NEAR model)) WITH Compar\$4 WITH (benchmark OR target OR index OR indices)) AND (volatility OR beta OR (standard ADJ deviation) AND (financial ADJ instrument))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 15:06
S39	704	((proxy OR model OR index OR hedge) WITH trad\$3) AND (secondary WITH market)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 17:02
S40	41	((proxy OR model OR index OR hedge) WITH trad\$3) WITH (secondary WITH market)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 17:02
S41	18	((proxy OR model OR index OR hedge) WITH price WITH trad\$3) WITH (secondary WITH market)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 17:33

S42	1561	((proxy OR model OR index OR hedge) WITH price WITH trad\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 17:36
S43	336	(proxy OR model OR index OR hedge) WITH price WITH trad\$3 WITH based	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/07 17:38
S44	14420	(proxy OR model OR index OR hedge) WITH periodic	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/11 12:58
S45	102	(proxy OR model OR index OR hedge) WITH periodic WITH day	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/11 12:58
S46	4	(proxy OR model OR index OR hedge) WITH periodic WITH day WITH display\$3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2011/09/11 12:58

**EAST Search History (1 interference)**

&lt; This search history is empty &gt;

**9/ 11/ 2011 1:45:27 PM**

C:\Documents and Settings\gpollock\My Documents\EAST\ Workspaces\ Completed\ 10753069.  
wsp